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\$282



PTO/SB/21 (08-00)  
Approved for use through 10/31/2002 OMB 0651-0031  
US Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of documents in This Submission 26 pages

Application Number 09/973,098

Filing Date October 9, 2001

First Named Inventor Patrick L. Sullivan

Examiner Name Tuan T. Dinh

Group Art Unit 2827

Attorney Docket No. 10001-31335

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (2)

☒ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
Under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

Postcard

### REMARKS

RECEIVED  
JUN 28 2002  
TECHNOLOGY CENTER 2800

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or  
Individual Name Patrick L. Patras  
Jenner & Block, LLC

Signature

Date

June 13, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 13, 2002

Typed or printed name Leah Grinnage

Signature

Date

June 13, 2002

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PTO/SB/17 (11-00)  
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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$ 552

### Complete if Known

Application Number 09/973,098  
Filing Date October 9, 2001  
First Named Inventor Patrick L. Sullivan  
Examiner Name Tuan T. Dinh  
Group Art Unit 2827  
Attorney Docket No. 10001-31335

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account Number 10-0460

Deposit  
Account Name JENNER & BLOCK, LLC

- ☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$
106 330	206 165	Design filing fee	\$
107 510	207 255	Plant filing fee	\$
108 740	208 370	Reissue filing fee	\$
114 160	214 80	Provisional filing fee	\$
<b>SUBTOTAL</b>			<b>\$</b>

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	FEE PAID
38 - 26** = 12	x	18	= 216.
Independent Claims 7 - 3* = 4	x	84	= 336.
Multiple Dependent			= 552

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL</b>			<b>\$ 552.</b>

\*for number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge-late filing fee or oath	\$
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2,520	147 2,520	For filing request for ex parte reexamination	\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	\$
116 400	216 200	Extension for reply within 2 <sup>nd</sup> month	\$
117 920	217 460	Extension for reply within 3 <sup>rd</sup> month	\$
118 1,440	218 720	Extension for reply within 4 <sup>th</sup> month	\$
128 1,960	228 980	Extension for reply within 5 <sup>th</sup> month	\$
119 320	219 160	Notice of Appeal	\$
120 320	220 160	Filing a brief in support of appeal	\$
121 280	221 140	Request for oral hearing	\$
138 1,510	138 1,510	Petition to institute public use proceeding	\$
140 110	240 55	Petition to revive - unavoidable	\$
141 1,280	241 640	Petition to revive - unintentional	\$
142 1,280	242 640	Utility issue fee (or reissue)	\$
143 460	243 230	Design issue fee	\$
144 620	244 310	Plant issue fee	\$
122 130	122 130	Petitions to the Commissioner	\$
123 50	123 50	Processing fee under 37 CFR 1.17(q)	\$
126 180	126 180	Submission of Information Disclosure Statement	\$
581 40	581 40	Recording each patent assignment per property (times # properties)	\$
146 740	246 370	Filing submission after final rejection (37 CFR § 1.129(a))	\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 740	279 370	Request for Continued Examination (RCE)	\$
169 900	169 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) \$ 0.**

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Patrick L. Patras	Registration No.	37,695	Telephone	312-222-9350
Signature	<i>Patrick L. Patras</i>	(Attorney/Agent)		Date	June 13, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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